The Shooting Method (application to energy levels of the simple harmonic oscillator and other problems of a particle in a potential minimum)

**Introduction**

In the previous handout we found the eigenvalues of a quantum particle in a potential well where the potential vanishes for $|x|$ greater than some value, which has the advantage that we know the wavefunction exactly in this large $|x|$ region. The same method can be used for problems where the potential, while not exactly zero at large $|x|$, is sufficiently close to zero that the error in assuming it vanishes is negligible.

Here we give a generalization of this approach to problems where the potential does not have to tend to zero at large $|x|$. This more general approach is often called the *shooting method*. This handout is very similar to the earlier one except for the way it handles the boundary conditions at large $|x|$. As before, we consider potentials which are symmetric, i.e. even functions of $x$, so the eigenfunctions have either even or odd parity. We will consider solutions of each parity separately.

**Setting up the Problem of the Simple Harmonic Oscillator**

As an illustration, we take the simple harmonic oscillator (SHO) potential with $\hbar \omega = m = 1$, for which there is an analytic solution, discussed in all books on quantum mechanics. The energy levels are

$$E_n = n + \frac{1}{2}, \quad (n = 0, 1, 2, \ldots)$$

First we set up the potential and plot it.

```math
In[1]:= Clear["Global`*"]
In[2]:= \(v0 = 1\);
In[3]:= \(v[x_] := v0 \ x^2 / 2\)
In[4]:= Plot[v[x], \{x, -4, 4\}, AxesStyle -> AbsoluteThickness[1], AxesLabel -> \{"x", "V(x)"\}]
```

We define the Schrodinger equation using a delayed assignment, "\(=\)" since we will only use it later:
In[6]:= \texttt{L = 4;}

At \(x = -L\), we take, arbitrarily, \(u(-L) = 0, u'(-L) = 1\). This corresponds to a linear superposition of the solution which decays exponentially to the left and the one which increases exponentially. We just want the solution which decreases exponentially to the left. However, if \(L\) is deep inside the region where \(V(x) > E\) the error will be negligible since we integrate to the right (not the left) and so the unwanted solution will be exponentially suppressed as we integrate to the right towards the negative-\(x\) turning point.

We set up the calculation of the wavefunction in the region between -\(L\) and 0, matching the function and its derivative to the specified values at \(x = -L\).

\begin{verbatim}
In[7]:= wavefunc[en_] := NDSolve[{eqn[en] == 0, u[-L] == 0, 
u'[-L] == 1, u, \{x, -L, 0\}] Note that "wavefunc[en]" will given as a replacement rule in the form 
\end{verbatim}

\begin{verbatim}
\{\{u\to \text{InterpolatingFunction}[[\{-0.5,0.5\}],"<>"]\}\}. In order to directly access the wavefunction we define a function, called \texttt{sol[x, en]}, which applies the replacement rule, and removes one of the sets of curly brackets by taking the first element of the list.

\begin{verbatim}
In[8]:= sol[x_?NumericQ, en_?NumericQ] := u[x] /. wavefunc[en][[1]]
\end{verbatim}

As before we have added the hieroglyphics

?NumericQ
after the arguments of \texttt{sol}. This is necessary in \texttt{Mathematica} version 5 and later when the solution is put into \texttt{FindRoot} below to determine the energy eigenvalue.

It is also convenient to define a function for the derivative of the wave function (since we will be requiring that this is zero at \(x = 0\) for the even parity solutions):

\begin{verbatim}
In[9]:= solprime[x_?NumericQ, en_?NumericQ] := u'[x] /. wavefunc[en][[1]]
\end{verbatim}

\begin{center}
\textbf{Even Parity Solution}
\end{center}

We now find an eigenvalue corresponding to an even parity eigenfunction. We use the "FindRoot" command to locate the eigenvalue and give it two starting values. The boundary condition is that the derivative of the wavefunction is zero at \(x = 0\):

\begin{verbatim}
In[10]:= value = en /. FindRoot[ solprime[0, en], \{en, 0, 1\}]
\end{verbatim}

\begin{verbatim}
Out[10]= 0.5
\end{verbatim}

This agrees with the known ground state energy of the simple harmonic oscillator, \(E_0 = 1/2\).

Now we want the eigenfunction corresponding to our eigenvalue. Since we now have the eigenvalue, we do not want to keep recalculating the wavefunction so we define a function "efunc" with immediate assignment, where we input the eigenvalue for the energy:

\begin{verbatim}
In[11]:= efunc[x_] = u[x] /. wavefunc[value][[1]]; We have now obtained the wavefunction in for \(x < 0\). We now also define it for \(x > 0\) (remembering that it's even) and collect these functions into a single (not yet normalized) function \(\psi n[x_\_\_\_]\), which can then easily be plotted:

\begin{verbatim}
In[12]:= \(\psi n[x_\_] := efunc[x] \quad ; \quad x \leq 0\);
In[13]:= \(\psi n [x_] := efunc[-x] \quad ; \quad x > 0\);
\end{verbatim}
We now normalize the wavefunction,

```math
\text{In[14]:= \quad \text{normconst} = \text{Sqrt[NIntegrate[ψnn[x]^2, \{x, -L, L\}]]};}
```

```math
\text{In[15]:= \quad \psi[x_] := \frac{\psi nn[x]}{\text{normconst}};}
```

and then plot it:

```math
\text{In[16]:= \quad \text{fig} = \text{Plot[ψ[x], \{x, -L, L\}, AxesLabel -> \{"x", "ψ"\}, PlotRange -> \{0, 1\}];}
```

```math
\text{In[17]:= \quad \text{Show[fig, Graphics[}}
\text{\quad \text{Text["E = ", \{1.6, 0.9\}, \{-1, 0\}]}],}
\text{\quad \text{Text["V0 = ", \{2.6, 0.6\}, \{1, 0\}]}\text{]}\text{]}\text{]
```

We see that there are no nodes (zeroes) in the wavefunction which means, since we are in one dimension, that it is the ground state.

### Odd Parity Solution

Now we look at odd-parity solutions.

We repeat the previous calculation of the eigenvalue, and calculate the eigenfunction, which is then normalized and plotted. We give different initial guesses for the eigenvalue from what we took for the even parity solution and also take a somewhat larger value for \(L\), in order to get an accurate answer for this state which has higher energy than the even parity solution discussed in the previous section.

```math
\text{In[18]:= \quad L = 5;}
```

The boundary condition is now that the wavefunction vanishes at the origin:

```math
\text{In[19]:= \quad \text{evalue} = \text{en} /\text{. \text{FindRoot[}}\text{sol[0, en], \{en, 1, 3\}]\text{]}\text{]
```

```math
\text{Out[19]= 1.5}
```

This agrees with the known energy of the first excited state of the simple harmonic oscillator, \(E_1 = 3/2\).

Next we calculate the eigenfunction,

```math
\text{In[20]:= \quad \text{efunc[x_] = u[x] /\text{. wavefunc[evalue][[1]]};}
```

redefine it for \(x > 0\) (noting that it is now odd rather than even)

```math
\text{In[21]:= \quad \psi nn [x_] := -\text{efunc[-x]} /\text{; x > 0}
```
and recompute the normalization constant

```
In[22]:= normconst = Sqrt[NIntegrate[\psi nn[x]^2, {x, -L, L}]];
```

Everything else is the same as for the even-parity eigenfunction and used delayed assignment. Hence we can now plot the eigenfunction

```
In[23]:= fig = Plot[\psi[x], {x, -L, L}, AxesLabel -> {"x", "\psi"}];
```

```
In[24]:= Show[fig, Graphics[
Text["E = ", {1.6, 0.4}, {-1, 0} ],
Text["V_0 = ", {1.6, 0.6}, {1, 0} ],
Text["V_0 = ", {1.6, 0.6}, {1, 0} ]]]
```

The wavefunction is smooth and has only one node, showing that it is the lowest energy odd-parity eigenstate.

The "shooting method" described in this handout can be applied to essentially any quantum well problem in one dimension with a symmetric potential. The main thing is to ensure that L is far enough into the region where the solution is exponentially decaying that the boundary conditions applied at x = -L do not introduce a noticeable amount of the "wrong" solution in the x-region of interest. It is also straightforward to generalize the method to the case of a non-symmetric potential.

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**Anharmonic Oscillator**

Now we consider a problem for which there is no analytic solution; an oscillator with a quartic potential, in addition to the quadratic potential:

```
In[25]:= Clear[v]
```

```
In[26]:= v[x_] := x^2 / 2 + \lambda x^4
```

We set the coefficient of the quartic potential to equal 0.2.

```
In[27]:= \lambda = 0.2;
```
We look for the lowest eigenvalue, for which the eigenfunction will be even.

\begin{verbatim}
In[30]:= value = en /. FindRoot[ solprime[0, en], {en, 0, 1} ]

Out[30]= 0.602405
\end{verbatim}

The first excited even eigenstate can be obtained from
In[36]:= evalue = en /. FindRoot[solprime[0, en], {en, 2.5, 3.5}]

Out[36]= 3.5363

In[37]:= efunc[x_] = u[x] /. wavefunc[evalue][[1]];

In[38]:= normconst = Sqrt[NIntegrate[ψnn[x]^2, {x, -L, L}]];

and then plotted

In[39]:= fig = Plot[ψ[x], {x, -L, L}, AxesLabel -> {"x", "ψ"}];

Show[fig, Graphics[
  Text["E = ", {1.6, 0.4}, {1, 0} ],
  Text["E = ", {1.6, 0.4}, {1, 0} ],
  Text["V_0 = ", {1.6, 0.6}, {1, 0} ] ]]

As expected there are two nodes.

We can also get the lowest odd eigenstate:

In[41]:= evalue = en /. FindRoot[sol[0, en], {en, 1, 2}]

Out[41]= 1.95054

In[42]:= efunc[x_] = u[x] /. wavefunc[evalue][[1]];

In[43]:= ψnn[x_] := -efunc[-x]; x > 0

In[44]:= normconst = Sqrt[NIntegrate[ψnn[x]^2, {x, -L, L}]];

In[45]:= fig = Plot[ψ[x], {x, -L, L}, AxesLabel -> {"x", "ψ"}];
We see that unlike the simple harmonic oscillator, the energy levels, 0.602405, 1.95054, 3.5363, ..., are not evenly spaced.